

KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals Only)

Please fill this form in English and BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

For office use only (To be filled by the financial institution)			
Application Type*:	☐ New	☐ Update	KYC Number:
Account Type*:	☐ Normal	☐ Simplified(for low risk customers)	☐ Small

A. Identity details

1. Name (Same as ID Proof)	
1a. Maiden Name (If any)	
2. Father's/Spouse's Name	
2a. Mother's Name	

Photograph

Please affix your recent passport size photograph and sign across it

F1



3a. Gender ☐ Male ☐ Female ☐ Transgender 3b. Marital Status ☐ Single ☐ Married ☐ Other 3c. DOB

4a. Citizenship ☐ Indian ☐ Other _____ (ISO 3166 Country Code)

4b. Residential Status ☐ Resident Individual ☐ Non Resident Indian ☐ Person of Indian Origin ☐ Foreign National

Tick if applicable ☐ Residence for tax purposes in jurisdiction(s) outside India	
ISO 3166 Country Code of Jurisdiction of residence	Place of birth _____
Tax Identification Number or Equivalent	ISO3166 Country Code of Birth

5a. PAN 5b. Unique Identification Number (UID) / AADHAR

6. Proof of Identity Submitted ☐ Pan Card ☐ Other (Please Specify) _____

B. Address details

1. Contact Details	Telephone (Office):	Mobile No.:
	Telephone (Residence):	Email ID:

2. Residence/Correspondence Address Address Type: ☐ Residential ☐ Business ☐ Unspecified

Address: _____			
City/Town: _____		District: _____	
State/U.T Code: _____		Pin Code :	
Country/ISO Code :			
Specify the Proof of Address Submitted for Residence / Correspondence Address _____			

C. DECLARATION

I/We declare that the details furnished above are true and correct to the best of my knowledge and undertake all liabilities w.r.t any incorrect information, I also confirm to inform Firststock w.r.t any changes in the future. I/We are also aware that for Aadhaar OVD based KYC, my KYC shall be validated against my Aadhaar. I/We hereby consent to sharing my/our masked Aadhaar with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I/We or Firststock have a business relationship for KYC purposes only. I/We hereby consent to receiving information from CVL KRA & C-KYC Registry through SMS/Email on the above registered number/Email ID.

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Client Signature

Date:

FOR OFFICE USE ONLY - In Person Verification (IPV) Details:

Name of the Person who has done the IPV: _____

Designation: _____ Employee ID: _____

Name of the Organization: Firststock Broking Pvt. Ltd. (formerly known as NCO Securities and Share Broking Pvt Ltd.)

Date of IPV:

Signature of the Person who has done the IPV

Seal/Stamp of the Intermediary

☐ Originals Verified and Self-Attested Document Copies Received

Date:

Signature of the Authorized Signatory

3. Permanent Address

Address:									
City/Town:				District:				Pin Code :	
State/U.T Code:				Country/ISO Code :					

4. Address in the jurisdiction details where applicant is resident outside India for tax purpose (if applicable)

Address:									
City/Town:				District:				Pin Code :	
State/U.T Code:				Country/ISO Code :					

D. Details of related person (In case of additional related persons, please fill below details)

☐ Addition of Related Person
 ☐ Deletion of Related Person

KYC Number of Related Person (if available)

Related Person Type : ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name :	
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(If KYC number & name are provided, below details are optional)

Proof Of Identity Of Related Person

Identity Proof Submitted :	Number :
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Expiry Date :

Others (any document notified by the Central Govt.)	Identification No.:
Simplified Measures Account-Document Type Code	Identification No.:

Trading account related details

A. Bank account details

Account Type: ☐ Savings ☐ Current ☐ Others In case of NRI Account: ☐ NRE ☐ NRO

Bank Name :	
Branch Address :	
Account Number :	
MICR Number :	IFSC Code :

B. Other details

Gross Annual Income Details (please specify): Income Range per annum

☐ Below Rs 1 Lakh
 ☐ 1-5 Lakh
 ☐ 5-10 Lakh
 ☐ 10-25 Lakh
 ☐ 25 Lacs to 1 Cr
 ☐ >1Cr

Or Net-worth as on _____ date _____ (Net worth should not be older than 1year)

Occupation

☐ Private Sector
 ☐ Public Sector
 ☐ Government Service
 ☐ Business
 ☐ Professional
 ☐ Agriculturist

☐ Retired
 ☐ Housewife
 ☐ Student
 ☐ Self Employed
 ☐ Others (please specify) _____

 Client Signature
